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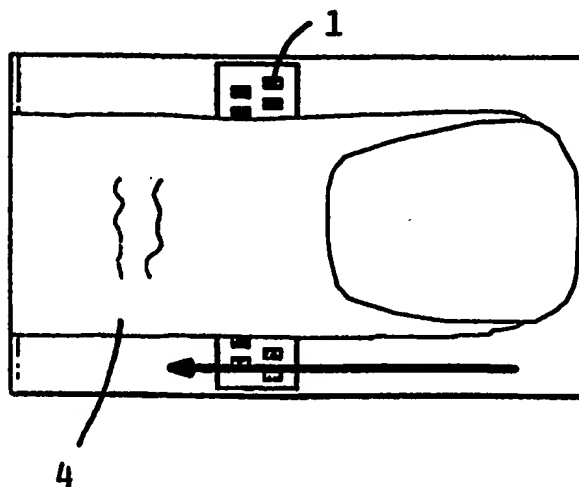


## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup>:</b> <b>G06K 9/00, A61B 5/117</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 98/58342</b> <b>(43) International Publication Date:</b> 23 December 1998 (23.12.98)
<b>(21) International Application Number:</b> PCT/NO98/00182 <b>(22) International Filing Date:</b> 12 June 1998 (12.06.98) <b>(30) Priority Data:</b> 972759 16 June 1997 (16.06.97) NO <b>(71) Applicant (for all designated States except US):</b> SINTEF [NO/NO]; Strindveien 2, N-7034 Trondheim (NO). <b>(72) Inventor; and</b> <b>(75) Inventor/Applicant (for US only):</b> TSCHUDI, Jon [NO/NO]; Brinken 16B, N-0654 Oslo (NO). <b>(74) Agent:</b> ABC-PATENT, SIVILING. ROLF CHR. B. LARSEN A.S; Brynsveien 5, N-0667 Oslo (NO).		<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>

**(54) Title:** METHOD AND APPARATUS FOR MEASURING STRUCTURES IN A FINGERPRINT**(57) Abstract**

Method and apparatus for the measuring of structures in a fingerprint or the like, comprising the measuring of chosen characteristics of the surface of the fingerprint, e.g. by measuring capacitance or resistivity, using a sensor array comprising a plurality of sensors, being positioned in contact with, or close to, a portion of the surface. The characteristics are measured in at least one line of measuring points along an elongated portion of the surface at given intervals of time, the sensor array being an essentially one-dimensional array, moving the surface in relation to the sensor array in a direction perpendicular to the sensor array, so that the measurements are performed at different, or partially overlapping, portions of the surface, combining the measurements of the measured portions of the surface to provide a segmented, two-dimensional representation of said characteristics of the surface.



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## METHOD AND APPARATUS FOR MEASURING STRUCTURES IN A FINGERPRINT

The invention relates to a method and an apparatus for the measuring of structures in a fingerprint or the like, comprising the measuring of chosen characteristics of the surface of the fingerprint, e.g. capacitance or resistivity, using a sensor array comprising a plurality of sensors, positioned in contact with, or close to, the surface.

Identification by the use of fingerprints has lately come to the fore as a result of the increasing needs for security relating to, for example, credit cards or computer systems as well as the greatly increased availability of pattern recognition algorithms. Some systems for recognition of fingerprints have already been made available on the market. The techniques used to register the fingerprint varies.

Some of the previously known solutions are based upon optical technology using light with one or more wavelengths. These are sensitive to dirt and contamination, both in the fingerprint and on the sensor surface, and thus cleaning is necessary for both.

Another alternative is pressure measurement, such as is described in US 5.559.504, US 5.503.029 and US 4.394.773. This, however, has the disadvantage that the sensor surface becomes sensitive to mechanical wear and damage, as the sensor has to have an at least partially compliant surface.

Temperature sensors have also been suggested, for example in US patent 4,429,413 and international patent application PCT/NO96/00082.

Since fingerprint sensors may be exposed to long term use in varying and sometimes demanding conditions the sensor needs to have a robust surface and to be as insensitive to pollution in the fingerprint and on the sensor as possible. It must be capable of reading most fingerprints without being disturbed by latent prints from earlier use. In some cases, e.g. in credit cards or computer keyboards, it would also be advantageous if the sensor could be made compact.

In the view of costs there is also a demand for simplicity and minimizing of the number of parts.

It is an object of the present invention to provide a sensor being easy to produce, making them cheap in production, and also relatively small.

In addition to the solutions mentioned above the  
5 measuring of capacitance has been tried as a method to measure finger prints. Examples are shown in US 4.353.056 and US 5.325.442. While the ridges of the fingerprint touches the sensor surface the valleys have a small distance to the sensor surface, resulting in a difference in  
10 capacitance and/or conduction measured at the different sensors. Humidity may affect the measurements, but if it is even throughout the fingerprint an analysis of the contrast between the measurements can provide a picture of it.

All the solutions mentioned above are based upon two-  
15 dimensional sensor arrays with dimensions comparable to the size of the fingerprint. These are expensive and difficult to produce, since they comprise a large number of sensors simultaneously measuring the surface.

EP 735.502 describes the use of a one or two-  
20 dimensional array of sensors being moved in relation to the finger print. The described solution is based on the measuring of resistance, and has a limited resolution defined by the minimum sensor dimensions and the distance between the sensors.

25 The present invention provides a method and an apparatus for the measuring of structures in a fingerprint or the like, for example using one of the techniques described above, characterized as stated in the disclosed claims 1 and 6.

30 As the surface of the sensor array is small, and contains few sensors compared to the known solutions, it is inexpensive and relatively simple to make. As the fingerprint to be measured is moved past the sensor array there is no latent fingerprint remaining from the previous user,  
35 giving another advantage in relation to the known fingerprint sensors.

Since the details in the fingerprints are small, it is also difficult to make the sensors of the detector small enough. In a preferred embodiment the apparatus and method  
40 according to the invention comprises two or more parallel

lines of measuring points, each line of measuring points being shifted in the longitudinal direction with a distance less than the distance between the measuring points, the sensor array comprising two or more parallel lines of  
5 equally spaced sensors, preferably shifted in the longitudinal direction of the sensor array. This provides a possibility to measure structures in the fingerprint smaller than the spacing of the sensors. This is not possible with any of the previously known detector systems.

10 Thus, it is to be understood that the term "essentially one-dimensional array" here refers to an array having a length being much larger than its width, and may comprise more than one line of sensors.

The invention will be described below with reference to  
15 the enclosed drawings, which illustrate one possible embodiment of the invention.

Figures 1a and 1b shows a schematic view of two versions of the sensor.

Figure 2a illustrates the sensor in figure 1b in use, as  
20 seen from above.

Figure 2b shows a cross section of the situation in figure 2a.

Figure 3 shows a schematic view of an apparatus according to the invention.

25 Figure 4 shows a cross section of an embodiment of the invention.

Figure 5 shows a preferred embodiment of the invention.

In figure 1a a single, linear array of sensors 1 is shown. The sensors may be of different kinds, such as  
30 pressure sensors or temperature sensors, but preferably they are electrical conductors providing a possibility to measure conduction, impedance or capacitance of the different parts of the fingerprint. The surface to be measured is moved in a perpendicular direction relative to the line of sensors.

35 In the preferred embodiment the sensors 1 are electrical conductors separated by an insulating material 3 such as epoxy. In the shown embodiment an electrically conducting material 2 surrounds the sensors which may be used to provide a reference potential. Thus the conduction,  
40 impedance or capacitance, through the fingerprint, between

each of the sensors 1 and the surrounding reference level may be measured.

The shown embodiment having equally distanced sensors is preferred, but other solutions, e.g. comprising groups of  
5 sensors for measuring certain parts of the finger print, is also possible.

Using one or more sensors positioned at one or more chosen distances from the sensor line will provide a possibility for measuring the velocity of the finger print  
10 in relation to the sensor by comparing the signals from the sensor line and the time lapse or spacial shift between the measurements of corresponding structures in the surface. Figure 1b shows a preferred embodiment of the invention in which the sensor array comprises two lines of sensors 1.

15 To be able to measure the structures in a fingerprint the array will typically be 10-15 mm long with a resolution of 50  $\mu$ m. This is difficult or expensive to obtain using a single line of sensors. In figure 1b the lines are slightly shifted in relation to each other. When moving a surface  
20 across the sensor array the measurements of each of the sensors in the second line will fall between the measured point of the first line, providing the required resolution with a larger distance between the sensors. Three or more lines are possible to improve the resolution even more, but  
25 more than five would be impractical because of the distance between the lines and the resulting time lapse between the measurements of the first and the last line. Also, an apparatus using many lines would be sensitive to the direction in which the finger is moved.

30 Although the lines shown in the drawings comprise equally spaced sensors the shifted, second, third etc. lines may comprise single or groups of sensors, increasing the resolution in certain parts of the finger print, and/or measuring differences in velocity of different parts of the  
35 finger print, in case the movements is uneven. Also, the second, third etc. lines may have an angle in relation to the first line of sensors.

When using a sensor array comprising two or more sensor lines, as shown in figure 1b, the measurements of the dif-  
40 ferent lines must be combined to provide a signal corre-

sponding to one single line of sensors. To do this the signals from the sensors must be adjusted for the time delay between the signals from the sensors in different lines, and thus the movement of the finger in relation to the sensor array must be known, either by moving the finger or sensor array with a chosen speed, or by measuring the movement of the finger.

Figure 2a illustrates how the finger 4 is moved over a sensor array in the direction perpendicular to the array. In order to obtain exact measurements the movement of the finger must be measured. In addition to the abovementioned method comprising the correlation of measurements from different sensors this may be done in many ways, such as providing a rotating cylinder in contact with the finger, so that the rotation of the cylinder may be measured. Another example may be the use of a thin disk on which the finger may be positioned, which is moved together with the finger and is connected to the apparatus so that the velocity of the disk may be measured. Preferably, however, the movement is measured by correlating or comparing the signals from the different sensor lines, and the time lapse or spacial shift between the measurements of corresponding structures in the surface is found. This way more detailed images can be made from the separate images of each line of sensors.

Another method for adjusting for the movement of the finger is to maintain the sampling rate at the sensor array, while adjusting the number of measured lines used in generating the segmented image of the surface, and thus the interval of the measurements according to movement in order to obtain at least one measurement of each portion of the surface. For example, if the fingerprint is moved slowly over the sensor, while the sampling or measuring frequency is high, the redundant data may simply be neglected and the image of the finger print is comprised by each second or third set of data.

Figure 2b shows a cross section of the finger 4 placed on the sensors 1, and also shows an exaggerated view of the ridges 5 and valleys 6 in the fingerprint.

Figure 3 shows a simplified view of the apparatus according to the invention comprising conductors 7 from the



sensors 1 to an amplifier and multiplexer 8. The signal is then digitized in an A/D-converter 9 before the digital signal is sent to a computer 10 comprising any available computer program being able to analyse the signal.

5 A cross section of a more realistic embodiment is shown in figure 4, in which one end of closely spaced conductors 11 represent the sensors, and the other end of these conductors are connected to a microchip. The conductors 11 may be a part of a multi layer printed circuit board moulded  
10 in epoxy, producing two or more lines of sensors. Each sensor 1 would be about  $35 \times 50 \mu\text{m}$ . If the sensors in each line is mounted with distance between the centres of  $150 \mu\text{m}$ , the resolution with three shifted lines will be  $50 \mu\text{m}$ .

Figure 5 shows an embodiment of the invention where an  
15 external time varying, e.g. oscillating or pulsating, voltage 12 is applied to the finger through the conducting area 14 on the side of the sensor area. Planes at a constant voltage 13 are placed close to and parallel to the conductors 11. This reduces cross-talk and noise from  
20 external sources, and improves contrast in the image generated from the measurements. This may be implemented by using a multilayer printed circuit board, where one or more of the conducting layers are at a constant voltage. An insulating layer (not shown) preferably covers the  
25 conductors 1, 11 and shielding planes 13. The conducting area 14 may also be covered by an insulating layer, but this would decrease the signal strength. For better performance, the oscillating voltage 12 may be applied to both sides of the sensor surface. The oscillating voltage may, as  
30 mentioned above, be a pulse train, or a sinus.

In one embodiment, a sinus of 100kHz is applied to the conducting area 14, and each of the conductors 11 is terminated by a resistance, and the signal is amplified and feed to a demodulator, multiplexer and analogue-to-digital  
35 converter. One advantage of this embodiment is that there are essentially no signal on the conductors 11 in the sensor area when no finger is present, thus reducing problems with offset voltages varying with time and drift in the electronics.

40 This solution provides a sensor apparatus being simple

to produce using standard techniques, and thus cheap. It is also compact and rugged. If the measured parameter is the resistance the sensors, being the ends of the conductors, will not change their characteristics as they and the surrounding epoxy are worn down. If the capacitance is to be measured a durable, insulating layer is provided on the sensors or conductor ends.

The preferred layout of the sensor also allows the resolution to be better than the distance between the sensors, reducing cross-talk between the sensors.

The method and apparatus according to the invention may of course be utilized in many different ways, and different characteristics may be measured in order to provide a representation of the measured surface, in addition to capacitance and/or conductivity. Optical detectors may be used, and preferably transmitters, so that the reflected image of the fingerprint may be analysed regarding for example contrast and/or colour.

The sensors may, as mentioned above simply be the ends of conductors connected to means for measuring capacitance and/or conductivity, or may be sensors made from semiconducting materials. A preferred semiconducting material when cost is essential would be silicon.

In the embodiment comprising capacitance measurements an insulating layer (not shown) is provided between the conductor ends and the finger print.

Another possible embodiment within the scope of this invention comprises sensor lines of not equally spaced sensors positioned to measure chosen parts of the fingerprint.

## C l a i m s

1. Method for the measuring of structures in a fingerprint or the like, comprising the measuring of chosen characteristics of the surface of the fingerprint, e.g. by measuring capacitance or resistivity, using a sensor array comprising a plurality of sensors, being positioned in contact with, or close to, a portion of the surface, c h a r a c t e r i z e d in the measuring of said characteristics in at least one line of measuring points along an elongated portion of the surface at given intervals of time, the sensor array being an essentially one-dimensional array,

measuring said characteristics using at least one measuring point being positioned at a chosen distance from said line of measuring points in a direction perpendicular to the axis of the line,

moving the surface in relation to the sensor array in a direction perpendicular to the sensor array, so that the measurements are performed at different, or partially overlapping, portions of the surface, and, from said measurements at said line of sensors and said at least one sensor, calculating said movement,

combining the measurements of the measured portions of the surface to provide a segmented, two-dimensional representation of said characteristics of the surface.

2. Method according to claim 1, c h a r a c t e r i z e d in that the measuring points of the array are essentially equally spaced along said essentially one-dimensional array.

3. Method according to claim 1 or 2, c h a r a c t e r i z e d in the measuring of the relative movement of the surface and adjusting the interval of the measurements according to movement in order to obtain at least one measurement of each portion of the surface.

4. Method according to claim 1, 2, or 3, characterized in that each measurement of the characteristics of an elongated portion of the surface comprises essentially simultaneous measuring of said characteristics along at least two lines of measuring points, one of which comprising said at least one measuring point,

each line of measuring points being shifted in the longitudinal direction with a distance not equal to the distance between the measuring points, the sensor array comprising two or more essentially parallel lines of essentially equally spaced sensors, preferably shifted in the longitudinal direction of the sensor array.

5. Method according to one of the preceding claims, characterized in that the movement is measured by correlating the measurements from different measuring lines in order to find the time lapse or spatial shift between the similar structures at different lines of measuring points.

6. Apparatus for measuring structures in a fingerprint or the like, comprising a sensor array adapted to be positioned close to, or in contact with, the surface of the fingerprint, the sensor array being adapted to measure chosen characteristics of the surface, e.g. by measuring capacitance or resistivity, at a plurality of positions, characterized in that the sensor array is an essentially one-dimensional array comprising at least one line of sensors, adapted to measure said characteristics at chosen intervals of time, the surface having a relative movement in relation to the sensor array, with a direction essentially perpendicular to the array,

that the apparatus comprises at least one sensor being positioned at a chosen distance from said line of sensors in a direction perpendicular to said line,

and that the apparatus comprises means for combining the measurements at the different time intervals to obtain a segmented, two-dimensional representation of the characteristics of the surface.

7. Apparatus according to claim 6,  
c h a r a c t e r i z e d in that the essentially one-dimensional sensor array comprises two or more parallel lines of essentially equally spaced sensors, said at least one sensor being comprised in said array.

8. Apparatus according to claim 7,  
c h a r a c t e r i z e d that said sensor lines are shifted in the longitudinal direction of the sensor array with a distance not equal to the distance between the sensors.

9. Apparatus according to claim 6, 7 or 8,  
c h a r a c t e r i z e d in that the apparatus comprises a device for finding the movement of the surface in relation to the sensor array.

10. Apparatus according to claim 9,  
c h a r a c t e r i z e d in that the device comprises means for comparing the signals from the different lines of sensors to find the time lapse or spacial shift between the similar structures at the different sensor lines.

11. Apparatus according to any one of claims 7-10,  
c h a r a c t e r i z e d in that the sensors are capacitive sensors adapted to measure variations in the capacitance along the sensor array.

12. Apparatus according to claim 11,  
c h a r a c t e r i z e d in that voltage supply means for applying a voltage varying with time to the surface to be measured are placed separate from the sensor array, and that a thin insulator separates the conductors in the sensor array from the surface to be measured, the sensors essentially measuring the capacitive coupling through the insulating layer, between the surface to be measured and the conductors.

13. Apparatus according to claim 12,  
c h a r a c t e r i z e d in that the conductors in the  
sensor array are placed essentially normal to the surface to  
be measured, and that one or more planes of constant voltage  
are placed close to and parallel to the conductors,  
extending essentially to the insulating layer.

14. Apparatus according to any one of claims 7-13,  
c h a r a c t e r i z e d in that the sensors comprise  
electrodes being capable of measuring variations in the  
electric resistance along the sensor array.

15. Apparatus according to any one of claims 7-10,  
c h a r a c t e r i z e d in that the sensors comprise  
optical detectors, and preferably optical transmitters.

16. Apparatus according to any one of claims 7-15,  
c h a r a c t e r i z e d in that the sensor array is made  
from a semiconducting material, preferably silicon.

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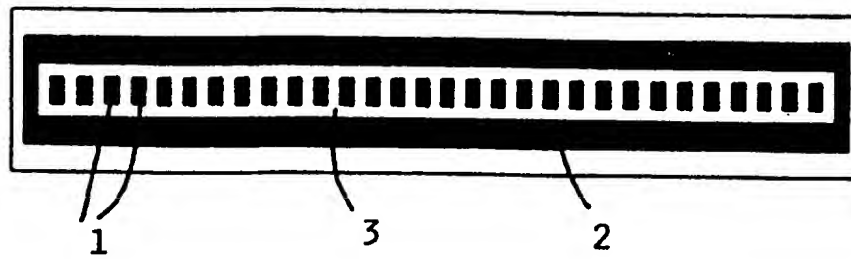


FIG. 1A

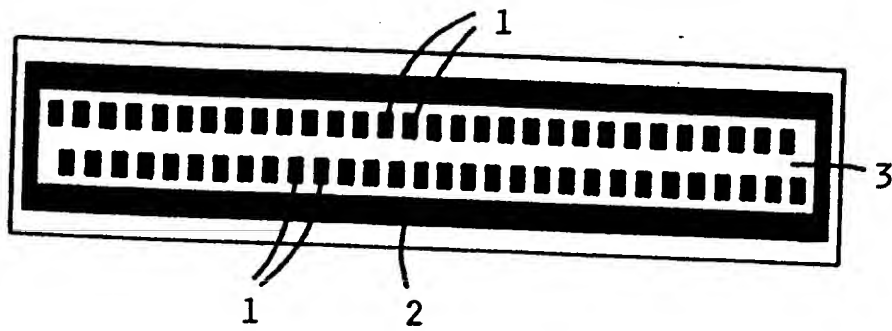


FIG. 1B

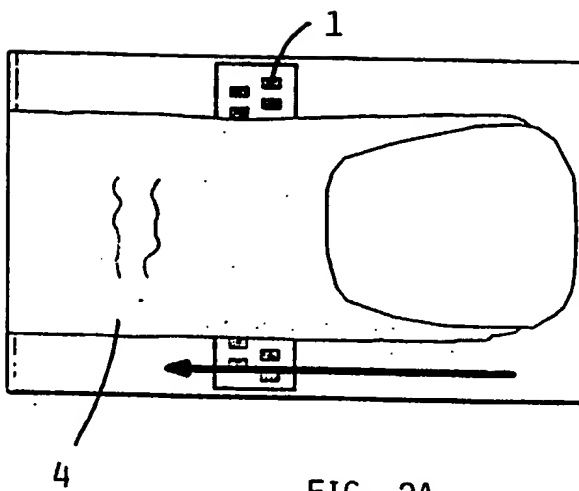


FIG. 2A

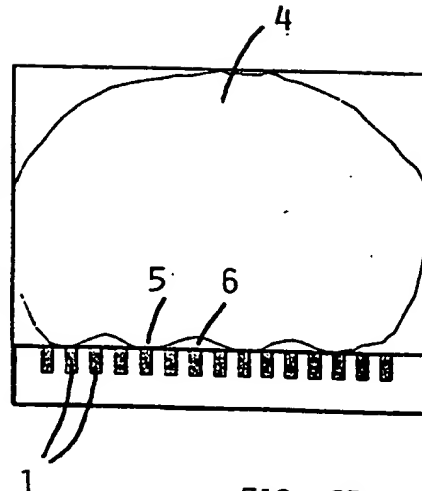


FIG. 2B

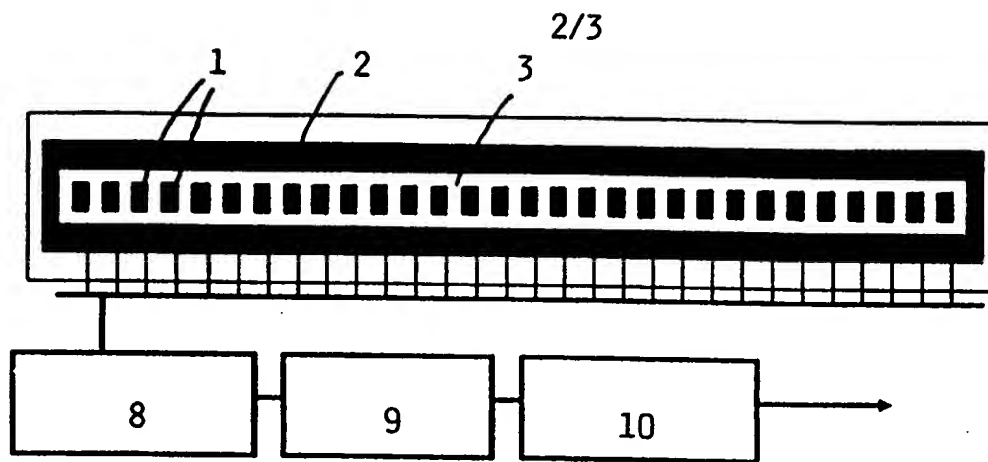


FIG. 3

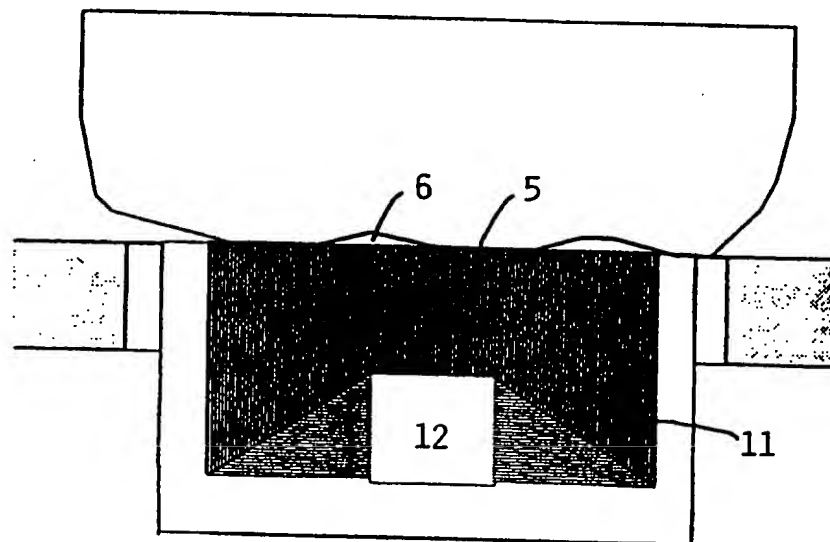


FIG. 4



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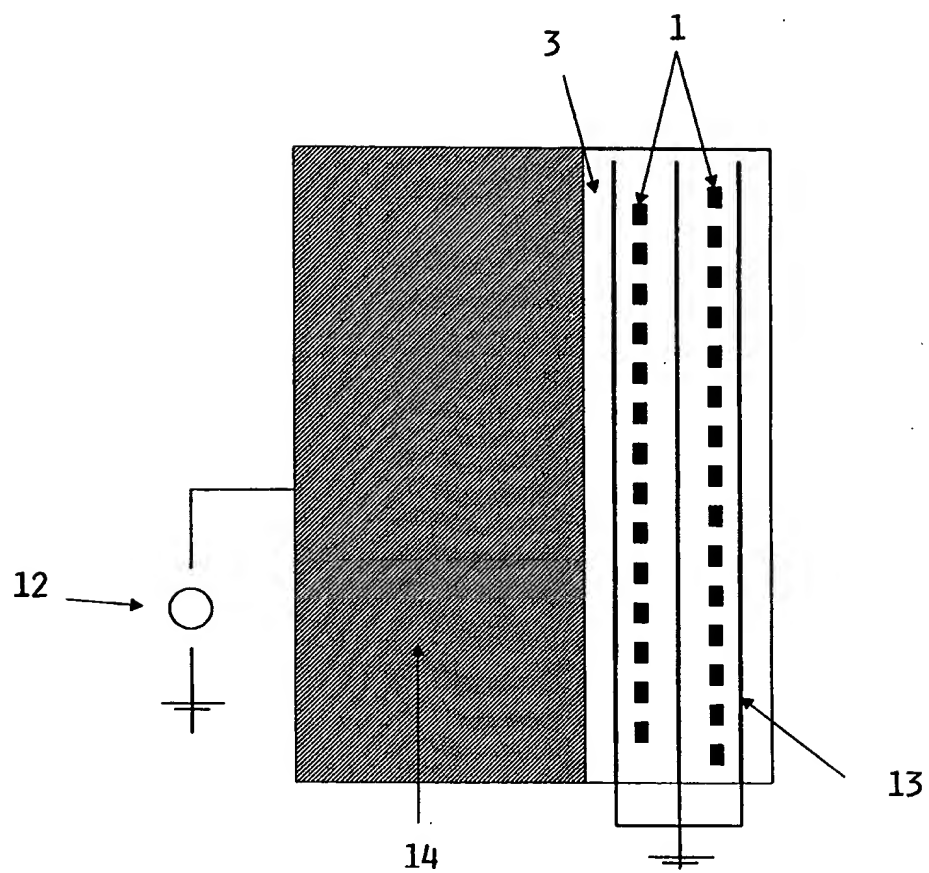


FIG.5

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/NO 98/00182

## A. CLASSIFICATION OF SUBJECT MATTER

IPC6: G06K 9/00, A61B 5/117

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IPC6: G01B, G06K, A61B

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## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0735502 A2 (TRW INC), 2 October 1996 (02.10.96), see the whole document	1-3,6-7,9,14
A	--	4-5,8,10-13, 15-16
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PX	JP 10003532 A ((SONY) SONY CORP), 6 January 1998 (06.01.98)	1,6
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## INTERNATIONAL SEARCH REPORT

International application No.

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## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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**INTERNATIONAL SEARCH REPORT**

Information on patent family members

03/11/98

International application No.

PCT/NO 98/00182

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